

10 661 479

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Document: Transmittal Form; Information Disclosure Statement; Substitute for
Form 1449/PTO; copies of fifteen (12) patents

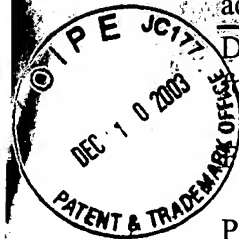
Filed: December 9, 2003

Patent Application Serial No.: Not yet Assigned

For: COTT Technologies, Inc.

Title: Sanitary Fill Valve with Adjustable Flow Regulator

Authorization: For Commissioner of Patents and Trademarks to charge any
underpayments, any additional official fees and/or credit any
overpayments to Deposit Account Number 18-2361



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**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Application Number	N/A 10 661 479
Filing Date	September 15, 2003
First Named Inventor	Ramon Navarro
Art Unit	N/A
Examiner Name	Not Assigned
Attorney Docket Number	

Total Number of Pages in This Submission

ENCLOSURES (Check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance communication to Technology Center (TC) <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): substitute form 1449A/PTO; copies of twelve (12) patents; itemized mailroom receipt
Remarks _____		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	BRENNEMAN & GEORGES
Signature	Todd A. Vaughn / Esq.
Date	December 8, 2003

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

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Signature		Date	

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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10 661 479

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



re Patent Application of:)
Ramon M. NAVARRO) Group Art Unit: N/A
Application Serial No. N/A) Examiner: Not Assigned
Filed: September 15, 2003)
For: SANITARY FILL VALVE WITH)
ADJUSTABLE FLOW)
REGULATOR)

INFORMATION DISCLOSURE STATEMENT

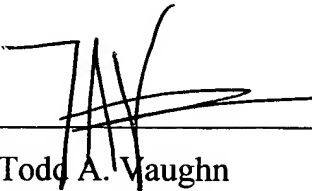
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Sir:

In accordance with the provisions of 37 CFR §§1.97 and 1.98, it is respectfully requested that the Examiner consider the information noted in the accompanying Form PTO 1449.

A copy of each item of information cited in the Form PTO 1449 is provided for consideration by the Examiner.

Respectfully submitted,
BRENEMAN & GEORGES



Todd A. Vaughn
Registration No. 52,319

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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Complete if Known

Application Number	N/A
Filing Date	SEPTEMBER 14, 2003
First Named Inventor	RAMON M. NAVARRO
Art Unit	N/A
Examiner Name	NOT ASSIGNED
Attorney Docket Number	

Sheet	1	of	1
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U.S. PATENT DOCUMENTS

Examiner Initials ⁵	Cite No. ¹	U.S. Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
		US-6,135,167	OCT. 24, 2000	KIHOLM	
		US-5,957,343	SEPT. 28, 1999	CAVALLARO	
		US-3,865,281	FEB. 11, 1975	BYRD ET AL.	
		US-2,012,319	AUG. 27, 1935	PITTLICK	
		US-6,338,370	JAN. 15, 2002	EDWARDS ET AL.	
		US-5,407,102	APR. 18, 1995	FREUDINGER ET AL.	
		US-2,740,556	APR. 3, 1956	BARON	
		US-5,501,253	MAR. 26, 1996	WEISS	
		US-6,276,409	AUG. 21, 2001	ELLISON	
		US-5,327,697	JULY 12, 1994	KENT	
		US-4,840,014	JUNE 20, 1989	TAKEHANA ET AL.	
		US-4,939,890	JULY 10, 1990	PERONEK ET AL.	

FOREIGN PATENT DOCUMENTS

Examiner Initials ⁵	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials ⁵	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²

Examiner Signature	Date Considered
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at 222.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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